

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** (Not for submission under 37 CFR 1.99)

Application Number	10552008
Filing Date	2005-10-05
First Named Inventor	Pratibhash Chattopadhyay
Art Unit	
Examiner Name	
Attorney Docket Number	FER-15618.001.001

## **U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	6197270	B1	2001-03-06	Sonoda et al.	
	2	6447991	B1	2002-08-10	Dalich et al.	
	3	6670402	B1	2003-12-30	Lee et al.	
	4	7083748	B2	2006-06-01	Chattopadhyay et al.	

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## **U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20080076293	A1	2006-04-13	Shekunov et al.	
	2	20080138687	A1	2006-06-29	Shekunov et al.	

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## **FOREIGN PATENT DOCUMENTS**

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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	Ts
	1							<input type="checkbox"/>

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**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Ts
	1	International Search Report for PCT/US2005/21970 mailed 12 October 2006, four pages	<input type="checkbox"/>
	2	Uchida et al., "Preparation and Characterization of Poly(lactic Acid) Microspheres Containing Water-Soluble Anesthetics with Small Molecular Weight," Chem. Pharm. Bull. 45(3), March 1997, pages 513-517	<input type="checkbox"/>
	3	Zhu et al., "Preparation and characterization of hCG-loaded polylactide or poly(lactide-co-glycolide) microspheres using a modified water-in-oil (w/o/w) emulsion solvent evaporation technique," J. Microencapsulation, 2001, Vol. 18, No. 2, pages 247-260	<input type="checkbox"/>
	4	Alex et al., "Encapsulation of water-soluble drugs by a modified solvent evaporation method. I. Effect of process and formulation variables on drug entrapment," J. Microencapsulation, 1990, Vol. 7, No. 3, pages 347-355	<input type="checkbox"/>
	5	Pisiel, et al., "Effects of salt addition on the microencapsulation of proteins using W/O/W double emulsion technique," J. Microencapsulation, 2000, Vol. 17, No. 4, pages 467-483	<input type="checkbox"/>

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**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

- ☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

- ☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- ☐ See attached certification statement.
- ☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- ☒ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/kenneth a. clark/	Date (YYYY-MM-DD)	2006-11-16
Name/Print	Kenneth A. Clark	Registration Number	32119

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**